

IFW



10269-20CON
(HSX/001 CON5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Timothy M. Keiser, et al.
Application No.: 10/655,409
Filed : September 4, 2003
For : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION
Group Art Unit : 3623
Examiner : Not Yet Assigned

Commissioner for Patents
P.O. Box 1450
Arlington, Virginia 22313-1450

REVOCATION OF POWER OF ATTORNEY
AND NEW POWER OF ATTORNEY

Sir:

CFPH, L.L.C., the assignee of the entire right, title and interest in and to the above-identified United States patent application, hereby revokes all powers of attorney heretofore existing in said United States patent application, and hereby appoints Laurence S. Rogers (Reg. No. 28,465), Jeffrey H. Ingerman (Reg. No. 31,069), Joel Weiss (Reg. No. 44,398), and Jeffrey D. Mullen (Reg. No. 52,056) as its principal attorneys and agents of record in said United States patent application, with full power of substitution and revocation including the power to appoint associate attorneys and to revoke their powers, to prosecute this application, to make alterations and amendments therein, to receive any Letters Patent, and to transact all business in the Patent and Trademark Office pertaining thereto.

The Patent and Trademark Office is respectfully
requested to direct all correspondence and telephone calls to:

Jeffrey D. Mullen
Fish & Neave
1251 Avenue of the Americas
New York, NY 10020
Tel.: (212) 596-9000

CFPH, L.L.C. holds title to the above-
identified patent application by virtue of the following
three assignments:

1. A December 17, 1999, assignment from
Timothy Maxwell Keiser and Michael R. Burns to HSX, Inc.,
recorded at Reel 010463, Frame 0534 on December 17, 1999.
2. A July 13, 2001, assignment from HSX
Holdings, Inc. to Hollywood Stock Exchange, LLC, recorded
at Reel 012059, Frame 0524 on August 10, 2001.
3. A September 4, 2001, assignment from Hollywood
Stock Exchange, L.L.C., to CFPH, L.L.C., recorded at Reel 12152,
Frame 0970 on September 13, 2001.

The undersigned hereby states and certifies that:

1. He is authorized to act on behalf of assignee in
connection with said application; and
2. The relevant evidentiary documents have been
reviewed and, to the best of the undersigned's and assignee's
knowledge and belief, title to the above-identified United
States patent application is in assignee.

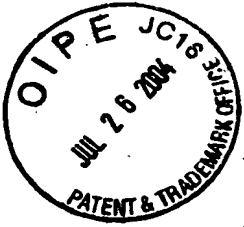
CFPH, L.L.C.

July 14, 2004
Date

By:


Robert F. Bahrampour
Vice-President

10269-20CON
(HSX/001 CON5)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Timothy M. Keiser, et al.
Application No.: 10/655,409 Confirmation No.: 6575
Filed : September 4, 2003
For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION
Group Art Unit : 3623
Examiner : Not Yet Assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment; ☐ a Reply to Office Action; ☐ a Supplemental Amendment; ☐ a substitute specification; ☒ a Revocation of Power of Attorney and New Power of Attorney; ☐ a Declaration; ☐ a Supplemental Declaration; ☐ a Power of Attorney; ☐ an Associate Power of Attorney; ☐ formal drawings; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ = \$.00
INDEPENDENT CLAIMS	-	** =	X \$ = \$.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$290 = \$ 0.00

*If less than 20, insert 20.

TOTAL \$.00

** If less than 3, insert 3.

- [] A check in the amount of \$ _____ in payment of the filing fee is transmitted herewith.
- [] Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

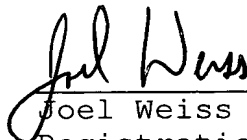
☐ The following extension is applicable to the Response filed herewith; ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a)(1); ☐ \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a)(2); ☐ \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a)(3); ☐ \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a)(4); ☐ \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a)(5).

☐ A check in the amount of ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 in payment of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,


Joel Weiss

Registration No. 44,398
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

I hereby certify that this Correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope Addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 on


Claire J. Smith-van Goodman

Signature of Person Signing